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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert A. Rabiner et al.  
Serial No. : 10/774,898  
Filed : February 9, 2004  
Title : APPARATUS AND METHOD FOR AN ULTRASONIC MEDICAL DEVICE  
OPERATING IN TORSIONAL AND TRANSVERSE MODES

Art Unit : Unknown  
Examiner : Unknown  
Conf. No. : 8765

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: September 27, 2006

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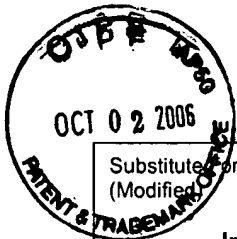
## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature

Karen Hecter  
Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
18554-036001Application No.  
10/774,898**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Robert A. Rabiner et al.Filing Date  
February 9, 2004

Group Art Unit

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1	168,975	10/19/1875	Farmer			
	A2	323,762	08/04/1885	White			
	A3	404,319	05/28/1889	Taylor			
	A4	414,090	10/29/1889	Taylor			
	A5	1,045,326	11/26/1912	Ruflin			
	A6	1,239,451	09/11/1917	Belz			
	A7	1,779,478	10/28/1930	Leech			
	A8	1,861,769	06/07/1932	Wappler			
	A9	2,199,602	05/07/1940	Wright			
	A10	2,242,120	05/13/1941	Gardiner			
	A11	2,270,922	01/27/1942	Bechmann et al.			
	A12	2,990,616	07/04/1961	Balamuth et al.			
	A13	2,321,358	06/08/1943	Bokovoy			
	A14	2,514,080	07/04/1950	Mason			
	A15	2,742,076	04/17/1956	Klein			
	A16	2,843,176	06/15/1958	Franck			
	A17	2,917,691	12/15/1959	De Prisco et al.			
	A18	3,056,698	10/02/1962	Kleesattel et al.			
	A19	3,089,790	05/14/1963	Balamuth et al.			
	A20	3,113,225	12/03/1963	Kleesattel et al.			
	A21	3,132,548	05/12/1964	Livermont			
	A22	3,133,351	05/19/1964	von Seggern			
	A23	3,202,021	09/24/1965	Livermont			
	A24	3,241,780	03/22/1966	Kitselman			
	A25	3,304,449	02/14/1967	Pohlman et al.			
	A26	3,315,663	04/25/1967	Goldfarb			
	A27	3,401,446	09/17/1968	Obeda et al.			

Examiner Signature

Date Considered

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A28	3,433,226	03/18/1969	Boyd			
	A29	3,438,824	04/15/1969	Balamuth			
	A30	3,486,361	12/30/1969	Vaneman et al.			
	A31	3,524,085	08/11/1970	Shoh			
	A32	3,526,219	09/01/1970	Balamuth			
	A33	3,528,410	09/15/1970	Banko			
	A34	3,565,062	02/23/1971	Kuris			
	A35	3,589,363	06/29/1971	Banko et al.			
	A36	3,614,484	10/19/1971	Shoh			
	A37	3,660,186	05/02/1972	Sager et al.			
	A38	3,683,736	08/15/1972	Loose			
	A39	3,763,680	10/09/1973	Godfrey et al.			
	A40	3,805,787	04/23/1974	Banko			
	A41	3,809,977	05/07/1974	Balamuth et al.			
	A42	3,840,932	10/15/1974	Balamuth et al.			
	A43	3,853,130	12/10/1974	Sheridan			
	A44	3,861,391	01/21/1975	Antonevich et al.			
	A45	3,890,977	06/24/1975	Wilson			
	A46	3,906,954	09/23/1975	Baehr et al.			
	A47	3,939,033	02/17/1976	Grgach et al.			
	A48	3,955,662	05/11/1976	Thackston			
	A49	3,962,898	06/15/1976	Tillmann			
	A50	3,967,621	07/06/1976	Schwarz			
	A51	3,980,906	09/14/1976	Kuris et al.			
	A52	3,988,782	11/02/1976	Dardik et al.			
	A53	3,990,452	11/09/1976	Murry et al.			
	A54	3,991,929	11/16/1976	Smith			

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A55	4,011,474	03/08/1977	O'Neill			
	A56	4,012,174	03/15/1977	Seibel et al.			
	A57	4,012,647	03/15/1977	Balamuth et al.			
	A58	4,044,174	08/23/1977	Carr			
	A59	4,063,557	12/20/1977	Wuchinich et al.			
	A60	4,069,541	01/24/1978	Williams et al.			
	A61	4,083,996	04/11/1978	Tanaka et al.			
	A62	4,136,700	01/30/1979	Broadwin et al.			
	A63	4,143,130	03/06/1979	Imondi et al.			
	A64	4,157,396	06/05/1979	Tanaka et al.			
	A65	4,164,524	08/14/1979	Ward et al.			
	A66	4,169,984	10/02/1979	Parisi			
	A67	4,174,410	11/13/1979	Smith			
	A68	4,178,935	12/18/1979	Gekhman et al.			
	A69	4,203,429	05/20/1980	Vasilevsky et al.			
	A70	4,203,444	05/20/1980	Bonnell et al.			
	A71	4,223,676	09/23/1980	Wuchinich et al.			
	A72	4,225,803	09/30/1980	Goof			
	A73	4,236,510	12/02/1980	Hatter et al.			
	A74	4,248,232	02/03/1981	Engelbrecht et al.			
	A75	4,265,928	05/05/1981	Braun			
	A76	4,280,233	07/28/1981	Raab			
	A77	4,300,564	11/17/1981	Furihata			
	A78	4,311,147	01/19/1982	Hausler			
	A79	4,315,181	02/09/1982	Holze, Jr.			
	A80	4,316,465	02/23/1982	Dotson, Jr.			
	A81	4,326,903	04/27/1982	Summo			

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	A82	4,334,168	06/08/1982	Besson et al.			
	A83	4,335,426	06/15/1982	Maxwell et al.			
	A84	4,352,570	10/05/1982	Firth			
	A85	4,356,590	11/02/1982	Goldsmith			
	A86	4,363,992	12/14/1982	Holze, Jr.			
	A87	4,368,410	01/11/1983	Hance et al.			
	A88	4,385,413	05/31/1983	Goldsmith			
	A89	4,393,734	07/19/1983	Thorn et al.			
	A90	4,395,392	07/26/1983	Wolgemuth			
	A91	4,399,003	08/16/1983	Sarig et al.			
	A92	4,414,045	11/08/1983	Wang et al.			
	A93	4,425,115	01/10/1984	Wuchinich			
	A94	4,428,748	01/31/1984	Peyman et al.			
	A95	4,445,509	05/01/1984	Auth			
	A96	4,447,455	05/08/1984	Madaus et al.			
	A97	4,462,242	07/31/1984	Morgenthaler			
	A98	4,467,678	08/28/1984	Lindholm			
	A99	4,474,180	10/02/1984	Angulo			
	A100	4,479,585	10/30/1984	Sandhaus			
	A101	4,480,642	11/06/1984	Stoy et al.			
	A102	4,483,571	11/20/1984	Mishiro			
	A103	4,486,680	12/04/1984	Bonnet et al.			
	A104	4,493,694	01/15/1985	Wuchinich			
	A105	4,504,264	03/12/1985	Kelman			
	A106	4,516,398	05/14/1985	Wuchinich			
	A107	4,523,122	06/11/1985	Tone et al.			
	A108	4,526,571	07/02/1985	Wuchinich			

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A109	4,529,115	07/16/1985	Renshaw et al.			
	A110	4,530,138	07/23/1985	Ritter			
	A111	4,534,819	08/13/1985	Payet et al.			
	A112	4,535,659	08/20/1985	Yang			
	A113	4,535,759	08/20/1985	Polk et al.			
	A114	4,571,520	02/18/1986	Saito et al.			
	A115	4,572,041	02/25/1986	Rissmann			
	A116	4,576,177	03/18/1986	Webster, Jr.			
	A117	4,583,365	04/22/1986	John			
	A118	4,587,958	05/13/1986	Noguchi et al.			
	A119	4,589,415	05/20/1986	Haaga			
	A120	4,601,705	07/22/1986	McCoy			
	A121	4,603,694	08/05/1986	Wheeler			
	A122	4,605,454	08/12/1986	Sayovitz et al.			
	A123	4,607,185	08/19/1986	Elbert et al.			
	A124	4,609,368	09/02/1986	Dotson, Jr.			
	A125	4,620,545	11/04/1986	Shene et al.			
	A126	4,633,119	12/30/1986	Thompson			
	A127	4,634,420	01/06/1987	Spinosa et al.			
	A128	4,643,717	02/17/1987	Cook et al.			
	A129	4,647,336	03/03/1987	Coenen et al.			
	A130	4,647,871	03/03/1987	Turner, Jr.			
	A131	4,651,043	03/17/1987	Harris et al.			
	A132	4,652,785	03/24/1987	Gabriel et al.			
	A133	4,655,104	04/07/1987	Blattner			
	A134	4,676,975	06/30/1987	McGary et al.			
	A135	4,688,454	08/25/1987	Scull			

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	A136	4,690,722	09/01/1987	Flood			
	A137	4,692,139	09/08/1987	Stiles			
	A138	4,696,299	09/29/1987	Shene et al.			
	A139	4,702,236	10/27/1987	Tarabichy et al.			
	A140	4,704,131	11/03/1987	Noishiki et al.			
	A141	4,704,573	11/03/1987	Turner, Jr.			
	A142	4,708,127	11/24/1987	Abdelghani			
	A143	4,713,132	12/15/1987	Abel et al.			
	A144	4,715,078	12/29/1987	Howard et al.			
	A145	4,718,907	01/12/1988	Karwoski et al.			
	A146	4,730,614	03/15/1988	Lacruche et al.			
	A147	4,732,152	03/22/1988	Wallsten et al.			
	A148	4,732,156	03/22/1988	Nakamura			
	A149	4,735,625	04/05/1988	Davidson			
	A150	4,738,666	04/19/1988	Fuqua			
	A151	4,738,667	04/19/1988	Galloway			
	A152	4,747,820	05/31/1988	Hornlein et al.			
	A153	4,748,985	06/07/1988	Nagasaki			
	A154	4,748,986	06/07/1988	Morrison et al.			
	A155	4,749,437	06/07/1988	Welter			
	A156	4,750,488	06/14/1988	Wuchinich et al.			
	A157	4,750,902	06/14/1988	Wuchinich et al.			
	A158	4,751,916	06/21/1988	Bory			
	A159	4,756,304	07/12/1988	Watanabe			
	A160	4,756,309	07/12/1988	Sachse et al.			
	A161	4,758,222	07/19/1988	McCoy			
	A162	4,758,293	07/19/1988	Samida			

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	A163	4,762,668	08/09/1988	Loose et al.			
	A164	4,770,730	09/13/1988	Abe			
	A165	4,771,782	09/20/1988	Millar			
	A166	4,791,915	12/20/1988	Barsotti et al.			
	A167	4,794,912	01/03/1989	Lia			
	A168	4,823,723	04/25/1989	Brooks			
	A169	4,823,783	04/25/1989	Willhite, Jr. et al.			
	A170	4,825,851	05/02/1989	Cocks et al.			
	A171	4,828,052	05/09/1989	Duran et al.			
	A172	4,830,002	05/16/1989	Semm			
	A173	4,834,102	05/30/1989	Schwarzchild et al.			
	A174	4,838,853	06/13/1989	Parisi			
	A175	4,838,859	06/13/1989	Strassmann			
	A176	4,844,081	07/04/1989	Northeved et al.			
	A177	4,846,161	07/11/1989	Roger			
	A178	4,846,174	07/11/1989	Willard et al.			
	A179	4,846,790	07/11/1989	Hornlein et al.			
	A180	4,850,358	07/25/1989	Millar			
	A181	4,862,573	09/05/1989	Kelson et al.			
	A182	4,866,491	09/12/1989	Solomon et al.			
	A183	4,867,141	09/19/1989	Nakada et al.			
	A184	4,872,333	10/10/1989	Burnand			
	A185	4,873,969	10/17/1989	Huebsch			
	A186	4,877,037	10/31/1989	Ko et al.			
	A187	4,880,011	11/14/1989	Imade et al.			
	A188	4,881,761	11/21/1989	Hornlein et al.			
	A189	4,882,777	11/21/1989	Narula			

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	A190	4,885,499	12/05/1989	Ueha et al.			
	A191	4,886,060	12/12/1989	Wiksell			
	A192	4,886,491	12/12/1989	Parisi et al.			
	A193	4,892,089	01/09/1990	Cocks et al.			
	A194	4,904,391	02/27/1990	Freeman			
	A195	4,907,572	03/13/1990	Borodulin et al.			
	A196	4,909,789	03/20/1990	Taguchi et al.			
	A197	4,917,104	04/17/1990	Rebell			
	A198	4,920,954	05/01/1990	Alliger et al.			
	A199	4,922,902	05/08/1990	Wuchinich et al.			
	A200	4,924,863	05/15/1990	Sterzer			
	A201	4,931,047	06/05/1990	Broadwin et al.			
	A202	4,931,049	06/05/1990	Klimas			
	A203	4,936,281	06/26/1990	Stasz			
	A204	4,960,410	10/02/1990	Pinchuk			
	A205	4,962,755	10/16/1990	King et al.			
	A206	4,963,151	10/16/1990	Ducheyne et al.			
	A207	4,966,131	10/30/1990	Houghton et al.			
	A208	4,966,148	10/30/1990	Millar			
	A209	4,974,581	12/04/1990	Wiksell			
	A210	4,978,333	12/18/1990	Broadwin et al.			
	A211	4,979,952	12/25/1990	Kubota et al.			
	A212	4,986,808	01/22/1991	Broadwin et al.			
	A213	4,989,583	02/05/1991	Hood			
	A214	4,989,588	02/05/1991	Kubota et al.			
	A215	5,003,965	04/02/1991	Talish et al.			
	A216	5,003,990	04/02/1991	Osypka			

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	A217	5,015,221	05/14/1991	Smith			
	A218	5,015,227	05/14/1991	Broadwin et al.			
	A219	5,017,379	05/21/1991	Lemelson			
	A220	5,019,083	05/28/1991	Klapper et al.			
	A221	5,024,234	06/18/1991	Leary et al.			
	A222	5,026,387	06/25/1991	Thomas			
	A223	5,027,792	07/02/1991	Meyer			
	A224	5,040,548	08/20/1991	Yock			
	A225	5,045,054	09/03/1991	Hood et al.			
	A226	5,046,497	09/10/1991	Millar			
	A227	5,049,157	09/17/1991	Mittelmeier et al.			
	A228	5,054,492	10/08/1991	Scribner et al.			
	A229	5,055,101	10/08/1991	McCoy			
	A230	5,057,106	10/15/1991	Kasevich et al.			
	A231	5,057,119	10/15/1991	Clark et al.			
	A232	5,057,182	10/15/1991	Wuchinich			
	A233	5,058,570	10/22/1991	Idemoto et al.			
	A234	5,059,210	10/22/1991	Clark et al.			
	A235	5,061,273	10/29/1991	Yock			
	A236	5,062,827	11/05/1991	Wiksell			
	A237	5,064,765	11/12/1991	Karasikov et al.			
	A238	5,069,664	12/03/1991	Guess et al.			
	A239	5,076,276	12/31/1991	Sakurai et al.			
	A240	5,102,403	04/07/1992	Alt			
	A241	5,106,741	04/21/1992	Marotti et al.			
	A242	5,108,238	04/28/1992	Ewing			
	A243	5,109,830	05/05/1992	Cho			

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 18554-036001	Application No. 10/774,898
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Robert A. Rabiner et al.	
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 18554-036001	Application No. 10/774,898
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Robert A. Rabiner et al.	
		Filing Date February 9, 2004	Group Art Unit

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<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Robert A. Rabiner et al.	
		Filing Date February 9, 2004	Group Art Unit

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